

ATTORNEY'S DOCKET NO: I00308/70002 (KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kinet, et al.  
 Serial No: 10/088,703  
 Conf. No: 2355  
 Filed: March 21, 2002  
 For: MODULATION OF IgE RECEPTOR CELL SURFACE EXPRESSION  
 Examiner: Not yet assigned  
 Art Unit: Not yet assigned

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231, on June 25, 2002.

Konstantinos Andrikopoulos  
 Konstantinos Andrikopoulos, Reg. No. 48,915

1550

Box PCT  
 COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231

Sir:

**TRANSMITTAL LETTER**

Transmitted herewith are the following documents:

- [X] Copy of Notification of Missing Requirements Under 35 U.S.C. §371
- [X] Response to Notification of Missing Requirements Under 35 U.S.C. §371
- [X] Substitute Sequence Listing (hard copy and on Computer Readable Diskette) and Statement under 37 C.F.R. §1.821(f)
- [X] Check in the amount of \$130.00
- [X] Return Receipt Postcard
- [X] Certificate of Mailing under 37 C.F.R. §1.8(a)

A check in the amount of \$130.00 is enclosed herein to cover the surcharge for the late oath/declaration filing. Please charge any additional fees that may be due, or credit any overpayment of fees, to the deposit account of the undersigned, deposit acc. no. 23/2825. A duplicate of this sheet is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or Application Branch is respectfully requested to contact the undersigned at (617) 720-3500 (ext. 286), Boston, Massachusetts.

07/03/2002 LLANDGRA 00000061 10088703

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Respectfully Submitted,

Konstantinos Andrikopoulos

Konstantinos Andrikopoulos, Reg. No.: 48,915  
 WOLF, GREENFIELD & SACKS, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210-2211  
 (617)720-3500

Attorney's Docket No.: I00308/70002 (KA)  
 Date: June 25, 2002  
 X07/21/02

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Konstantinos Andrikopoulos  
Konstantinos Andrikopoulos, Reg. No. 48,915

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Box PCT  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

**RESPONSE TO THE NOTIFICATION OF MISSING REQUIREMENTS**

This is in response to the Notification of Missing Requirements Under 35 U.S.C. §371 in the United States Designated Office, mailed from the United States Patent and Trademark Office on May 21, 2002.

Attached are the Declarations and Power of Attorney documents executed by the inventors, Jean-Pierre Kinet, Marie-Helene Jouvin, Emmanuel Donnadiou, William Cookson and Miriam Fleur Moffatt.

A substitute "Sequence Listing" on hard copy and on a computer readable diskette, is also submitted herewith together with the required statement under 37 C.F.R. §1.821(f).

Also attached is a check for \$130.00 which covers the surcharge for the late oath/declaration filing.

Accordingly, Applicants believe that this document is responsive to the Notification of Missing Requirements.

If any other information is needed, please contact the undersigned by phone (617-720-3500, Ext. 286) to expedite the further prosecution of this patent application.

Respectfully Submitted,

Konstantinos Andrikopoulos  
Konstantinos Andrikopoulos Reg. No. 48,915  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Attorney's Docket No.: I00308/70002 (KA)  
Date: June 25, 2002  
X07/21/02



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

ERP

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/088,703                  | Jean Pierre Kinet     | I00308/70002     |

|                               |
|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
|-------------------------------|

PCT/US00/25877

|                  |               |
|------------------|---------------|
| I.A. FILING DATE | PRIORITY DATE |
| 09/21/2000       | 09/21/1999    |

 23628  
 WOLF GREENFIELD & SACKS, PC  
 FEDERAL RESERVE PLAZA  
 600 ATLANTIC AVENUE  
 BOSTON, MA 02210-2211

CONFIRMATION NO. 2355

371 FORMALITIES LETTER



\*OC000000008152853\*

Date Mailed: 05/21/2002 ✓

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

|                  |                                     |          |
|------------------|-------------------------------------|----------|
| File Folder      | <input checked="" type="checkbox"/> | Initials |
| ECB              | <input checked="" type="checkbox"/> |          |
| Docket Entry     | <input checked="" type="checkbox"/> |          |
| Docket Cross Off | <input checked="" type="checkbox"/> |          |
| Order Copies     | <input checked="" type="checkbox"/> |          |
| Annuities        | <input checked="" type="checkbox"/> |          |
| Confirmation     | <input checked="" type="checkbox"/> |          |

07/21/02  
ERP

**DOCKETED**  
JUN 19 2002

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 305-2936

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/088,703                  | PCT/US00/25877                | I00308/70002     |